

Practitioner's Docket No. 57390/03-355

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Kim et al.

Application No.: 10/644,275

Confirmation No.: 5177

Filed: 08/20/2003

For: Internally adjustable brake for baitcast reel

Group No.: 3643

Examiner: Unknown

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
WITHIN THREE MONTHS OF FILING OR
BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. 1.97(b))**

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. SECTION 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: November 12, 2003

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☐ transmitted by facsimile to the Patent and Trademark Office (703) ____-____.

Luiz C. Lopez
Signature

LUZ C. LOPEZ
(type or print name of person certifying)

(Transmittal of Information Disclosure Statement
Within Three Months of Filing or Before Mailing of First Office Action—page 1 of 3)

List of Sections Forming Part of This Information Disclosure Statement

The following sections are being submitted for this Information Disclosure Statement:

1. Timing of Statement (with any required certifications)
2. Preliminary Statements
3. Forms PTO-1449 (Modified)
4. Comments on References
5. Identification of Person(s) Making This Information Disclosure Statement

Section 1. Timing of Statement (with any required certifications)

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. section 1.97(b).

Section 2. Preliminary statements

Applicants submit herewith patents, publications or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. section 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

Section 3. Form PTO-1449 (Modified)

Attached.

Section 4. Comments on References

None.

Section 5. Identification of Person Making This Information Disclosure Statement

The person making this certification is:

- a. the practitioner who signs below on the basis of the information in the practitioner's file.

No additional fee is believed to be due. However, if any fee is made payable by the filing of this paper, please consider this our authorization to charge the deposit account of the undersigned, Deposit Account No. 06-0540.

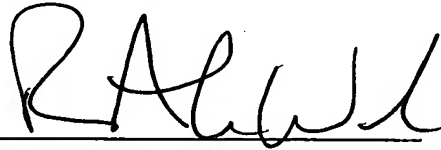
11/12/03
DATE

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Respectfully submitted,


SIGNATURE OF PRACTITIONER

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FORM PTO-1449 (Modified) INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	Attorney Docket No.: 57390/03-355	
	Applicant(s): Kim et al.	
	Title: Internally adjustable brake for baitcast reel	
	Serial No.: 10/644,275	Filing Date: 08/20/2003
	Group: 3643	Examiner: Unknown

U.S. PATENT DOCUMENTS

Examiner Initials		Document No.	Date	Name	Class	Subclass
	AA	5,108,042	04/28/1992	Puryear et al.	1242	288
	AB	5,308,021	05/03/1994	Ikuta	242	289
	AC	6,336,605	01/08/2002	Littau et al.	242	289
	AD	6,398,141	06/04/2002	Kim	242	289
	AE	6,422,498	07/23/2002	Littau et al.	242	288

FOREIGN PATENT DOCUMENTS

Examiner Initials		Document No.	Date	Name (Inventors)	Class	<u>Translation</u> Yes / No
	AF					

OTHER ART

Examiner Initial		(Including Author, Title, Date, Pertinent Pages, Etc.)
	AG	



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EXAMINER	DATE CONSIDERED

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance. Include copy of this form with next communication to applicant.